

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,816	01/22/2002	James G. Prather	MW001.02

CONFIRMATION NO. 9529

FORMALITIES LETTER



OC000000007554219

TERRELL P. LEWIS
343 PALOS VERDES BLVD.
UNIT # 8
REDONDO BEACH, CA 90277



Adjustment date: 08/02/2002 SDIRETA1
07/03/2002 BABRAHA1 00000033-10055816
04 FC:204 -140.00 OP

Date Mailed: 02/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/03/2002 BABRAHA1 00000033 10055816

01 FC:201 370.00 OP
02 FC:205 65.00 OP
03 FC:203 9.00 OP
04 FC:204 140.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Refund Ref:
08/02/2002 SDIRETA1 0000119451 ME

CHECK Refund Total: \$140.00

*A copy of this notice **MUST** be returned with the reply.*



#3

Please type a plus sign (+) inside this box ☐PTO/SB/05 (03-01)
Approved for use through 10/31/2002 OMB 0651-0032U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numberUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	MW001-02
First Inventor	James G. Prather
Title	Multi-level personal hand cart
Express Mail Label No.	ET956478799 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets

5. ☒ Oath or Declaration [Total Pages a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ / _____
Prior application information Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	TERRELL P. LEWIS				
Address	343 PALOS VERDES BOULEVARD				
	UNIT # 8				
City	REDONDO BEACH	State	CA	Zip Code	90277
Country	USA	Telephone	(310) 4650500	Fax	310 4650900

Name (Print/Type)	Terrell P. Lewis	Registration No. (Attorney/Agent)	29,221
Signature	Terrell P. Lewis	Date	06/26/02

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231



06-2862

MP #
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: JAMES G. PRATHER

Attorney Docket No. MW001.02

Application Number: 10/055,816

Examiner:

Filed: January 22, 2002

Art Unit: 3618

For: MULTI-LEVEL PERSONAL HAND CART

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.10

EXPRESS MAIL Post Office to Addressee Label No.

ET956478799US

Date of deposit in US Postal Service:

JUNE 26, 2002

Sir:

I hereby certify that the following documents are being deposited with the United States Postal Service in accordance with 37 CFR 1.10 in an envelope bearing an "Express Mail Post Office to Addressee" Label, Label No. **ET956478799US** addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231:

1. Copy of the **NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL APPLICATION** mailed 2/28/02.
2. Combined Declaration and Power of Attorney for Utility Patent Application
3. One **set of substitute drawings** consisting of 5 pages having corrected margins in compliance with 37 CFR 1.84 and the **NOTICE TO FILE MISSING PARTS a Utility Patent Application Transmittal**
4. **Fee Transmittal Form for FY2002** and check #1007 drawn on US Bank in the amount of \$784.00.
6. Pre-addressed, postage pre-paid **postcard** on which official stamp of the US Patent and Trademark Office is to be placed to show receipt of these documents.

Respectfully submitted on behalf of applicant,

Terrell P. Lewis

Terrell P. Lewis

Registration No. 29,227

343 Palos Verdes Boulevard
Unit #8
Redondo Beach, CA 90277
(310) 465-0500

2002 JUN 26 10 05 AM